## ISOTOPY CLASSES OF IMBEDDINGS

## BY C. W. PATTY

1. Introduction. The deleted product space  $X^*$  of a space X is  $X \times X - \Delta$ . If X is a finite polyhedron, let

$$P(X^*) = \bigcup \{\sigma \times \tau \mid \sigma \text{ and } \tau \text{ are simplexes of } X \text{ and } \sigma \cap \tau = \emptyset \}.$$

Hu [3] has shown that  $X^*$  and  $P(X^*)$  are homotopically equivalent. In [4], the author has shown that if Y is a triod, then  $P(Y^*)$  is a circle, and that up to homeomorphism the triod is the only tree (finite, contractible, 1-dimensional polyhedron) with this property. It is also shown in [4] that if X is a tree, then  $H_1(X^*, Z)$  where Z is the integers, is a free abelian group. T. R. Brahana suggested to the author that if X is a tree, then there might be a connection between the number of generators of  $H_1(X^*, Z)$  and the number of isotopy classes of imbeddings of the triod in X and that we might be able to extend this to higher dimensions.

In §2, we obtain a formula for computing the number of isotopy classes of imbeddings of the triod in a tree and show that there is a definite relation between this number and the 1-dimensional Betti number of the deleted product of the tree.

We show that up to homeomorphism there are at least two finite, contractible, 2-dimensional polyhedra, C and  $\theta$ , which have the property that  $P(C^*)$  and  $P(\theta^*)$  are homeomorphic to the 2-sphere. There is at least one more finite, contractible, 2-dimensional polyhedron  $\Lambda$  whose deleted product has the homotopy type of the 2-sphere. However C can be imbedded in both  $\theta$  and  $\Lambda$ , and in §4, we prove a collection of theorems which give a combinatorial method for computing the number of isotopy classes of imbeddings of C in a finite, contractible, 2-dimensional polyhedron.

The connection between the number of isotopy classes of imbeddings of C in a finite, contractible, 2-dimensional polyhedron X and the 2-dimensional Betti number of the deleted product of X is to be investigated in a forthcoming paper.

2. Imbedding the triod. Throughout this section, let Y denote a triod, let  $y_0$  denote the vertex of Y of order 3, and let X denote a tree which is not an arc.

Gottlieb [2] defined a branch point as follows: Let S be a pathwise connected space. A point x of S is a branch point of S if  $S - \{x\}$  has at least three path-components.

THEOREM 1. If  $f: Y \to X$  is an imbedding, then  $f(y_0)$  is a branch point of X and  $f(Y-\{y_0\})$  intersects exactly three path-components of  $X-\{f(y_0)\}$ .

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**Proof.** Let  $P_1$ ,  $P_2$ , and  $P_3$  denote the three path-components of  $Y - \{y_0\}$ , and let  $Q_i$  (i = 1, 2, 3) denote the path-component of  $X - \{f(y_0)\}$  which contains  $f(P_i)$ . Suppose  $Q_i = Q_j$  for some  $i \neq j$ . Let  $p_i \in f(P_i)$  and  $p_j \in f(P_j)$ . Then there exists a homeomorphism  $h: I \to X - \{f(y_0)\}$  such that  $h(0) = p_i$  and  $h(1) = p_j$ . Now there exists a homeomorphism  $g: I \to Y$  such that  $g(0) = f^{-1}(p_i)$  and  $g(1) = f^{-1}(p_j)$ . Since  $g(t) = y_0$  for some  $t \in I$ ,  $f(g(I)) \cup h(I)$  contains a simple closed curve. This contradicts the fact that X is a tree.

THEOREM 2. If  $f: Y \to X$  is an imbedding and  $H: Y \times I \to X$  is an isotopy such that H(y, 0) = f(y) for all  $y \in Y$ , then  $H(y_0, t) = f(y_0)$  for all  $t \in I$ .

**Proof.** Define a path  $\sigma: I \to X$  by  $\sigma(t) = H(y_0, t)$ . Suppose there exists  $t \in I$  such that  $\sigma(t) \neq f(y_0)$ . Then there exists  $t_1 \in I$  such that  $\sigma(t_1)$  is not a vertex of X. Thus  $H(y_0, t_1) = \sigma(t_1)$  is not a branch point of X. But  $h_{t_1}: Y \to X$  defined by  $h_{t_1}(y) = H(y, t_1)$  is an imbedding. Therefore, by Theorem 1,  $h_{t_1}(y_0)$  is a branch point.

THEOREM 3. If  $f, g: Y \to X$  are imbeddings, then f is isotopic to g if and only if  $f(y_0) = g(y_0)$  and, for each path-component P of  $Y - \{y_0\}$ , f(P) and g(P) are contained in the same path-component of  $X - \{f(y_0)\}$ .

**Proof.** Suppose there exists an isotopy  $H: Y \times I \to X$  such that H(y, 0) = f(y) and H(y, 1) = g(y) for each  $y \in Y$ . Then  $f(y_0) = g(y_0)$  by Theorem 2. Suppose there exist a path-component P of  $Y - \{y_0\}$  and path-components  $Q_1$  and  $Q_2$  of  $X - \{f(y_0)\}$  such that  $Q_1 \neq Q_2$ ,  $f(P) \subseteq Q_1$ , and  $g(P) \subseteq Q_2$ . Let  $y_1 \in P$ . Define a path  $\sigma: I \to X$  by  $\sigma(t) = H(y_1, t)$ . Then  $\sigma(0) = f(y_1) \in Q_1$  and  $\sigma(1) = g(y_1) \in Q_2$ . Since  $Q_1$  and  $Q_2$  are path-components of  $X - \{f(y_0)\}$  and  $Q_1 \neq Q_2$ ,  $\sigma(t_1) = f(y_0)$  for some  $t_1 \in I$ . Now  $h_{t_1}: Y \to X$  defined by  $h_{t_1}(y) = H(y, t_1)$  is an imbedding. But  $h_{t_1}(y_1) = H(y_1, t_1) = \sigma(t_1) = f(y_0)$ , and  $h_{t_1}(y_0) = H(y_0, t_1) = f(y_0)$ . Thus we have a contradiction.

If  $f(y_0) = g(y_0)$ , and, for each path-component P of  $Y - \{y_0\}$ , f(P) and g(P) are contained in the same path-component of  $X - \{f(y_0)\}$ , then it is clear that f is isotopic to g.

THEOREM 4. If m is the order of a vertex of maximum order in X, and, for each j=3, 4, ..., m,  $p_j$  is the number of vertices of order j, then the number of isotopy classes of imbeddings of Y in X is  $\sum_{j=3}^{m} j(j-1)(j-2)p_j$ .

**Proof.** Let p be the number of vertices of X of order  $\geq 3$ , and for each  $i=1, 2, \ldots, p$ , let  $n_i$  be the order of the ith vertex. Then it follows from Theorems 1, 2, and 3 that the number of isotopy classes of imbeddings of Y in X is  $\sum_{i=1}^{p} n_i(n_i-1)(n_i-2)$ . But  $\sum_{i=1}^{p} n_i(n_i-1)(n_i-2) = \sum_{j=3}^{m} j(j-1)(j-2)p_j$ .

THEOREM 5. If m is the order of a vertex of maximum order in X, and, for each  $j=3, 4, ..., m, p_j$  is the number of vertices of order j, then  $H_1(X^*, Z)$  is the free abelian group on  $\sum_{j=3}^{m} [(j-2)(j-1)p_j] - 1$  generators.

**Proof.** Let p be the number of vertices of X of order  $\ge 3$ , and for each  $i=1, 2, \ldots, p$ , let  $n_i$  be the order of the *i*th vertex. By Theorem 3.4 of [5],  $H_1(X^*, Z)$  is the free abelian group on  $\sum_{i=1}^{p} [(n_i-1)^2 - (n_i-1)] - 1$  generators. But

$$\sum_{i=1}^{p} [(n_i-1)^2-(n_i-1)]-1 = \sum_{j=3}^{m} [(j-1)(j-2)p_j]-1.$$

Thus, by comparing the formulas in Theorems 4 and 5, we see that there is a definite relation between the number of isotopy classes of imbeddings of Y in X and the 1-dimensional homology group of the deleted product of X.

- 3. The 2-dimensional analog of the triod. For each i=1, 2, 3, let  $\sigma_i$  be a 2-simplex, and let r be a 1-simplex. Throughout the remainder of this paper, let C denote the polyhedron, consisting of these simplexes and their faces, which satisfies the following conditions:
  - (1) r is not a face of  $\sigma_i$  for any i,
  - (2) there is a vertex  $c_0$  which is a vertex of r and of  $\sigma_i$  for each i,
  - (3) for each i < j,  $\sigma_i \cap \sigma_j$  is a 1-simplex  $r_{ij}$ , and
  - (4)  $r_{ij} \neq r_{km}$  unless i=k and j=m.

The polyhedron C is a cone with a "sticker" attached to its vertex  $c_0$ . We will continue to let r denote the 1-simplex described above. Also we will denote  $C-r \cup \{c_0\}$  by D. Note that D is a disk.

THEOREM 6. The polyhedron  $P(C^*)$  is homeomorphic to the 2-sphere.

**Proof.** For each i=1, 2, 3, let  $r_i$  denote the 1-face of  $\sigma_i$  which does not have  $c_0$  as a vertex. For each i < j, let  $c_{ij}$  denote the other vertex of  $r_{ij}$ , and let c denote the other vertex of r. The polyhedron  $P(C^*)$  consists of the following 2-cells and their faces:

$\sigma_1 \times c_{23}$	$c_{12} \times \sigma_3$	$r_{12} \times r_3$	$r_2 \times r$
$\sigma_1 \times c$	$c_{13} \times \sigma_2$	$r_{13} \times r_2$	$r_3 \times r_{12}$
$\sigma_2 \times c_{13}$	$c_{23} \times \sigma_1$	$r_{23} \times r_1$	$r_3 \times r$
$\sigma_2 \times c$	$c \times \sigma_1$	$r_1 \times r_{23}$	$r \times r_1$
$\sigma_3 \times c_{12}$	$c \times \sigma_2$	$r_1 \times r$	$r \times r_2$
$\sigma_3 \times c$	$c \times \sigma_3$	$r_2 \times r_{13}$	$r \times r_3$

The proof now consists of only routine verifications, and hence it is omitted.

For each i=1, 2, 3, let  $\tau_i$  be a 2-simplex, and suppose there is a 1-simplex s which is a face of  $\tau_i$  for each i. Let u and v denote the vertices of s, and for each i, let  $u_i$  denote the vertex of  $\tau_i$  which is different from u and v. Also for each i, denote the 1-faces of  $\tau_i$  different from s by  $s_{i1}$  and  $s_{i2}$  so that  $s_{i1} \cap s_{j1} \neq \emptyset \neq s_{i2} \cap s_{j2}$  but  $s_{i1} \cap s_{j2} = \emptyset$  for  $i \neq j$ . Let  $\theta$  denote the polyhedron consisting of these simplexes.

THEOREM 7. The polyhedron  $P(\theta^*)$  is homeomorphic to the 2-sphere.

**Proof.** The polyhedron  $P(\theta^*)$  consists of the following 2-cells and their faces:

Again the proof now consists of only routine verifications.

Suppose we add a 2-simplex  $\sigma_4$  to the polyhedron C so that r and  $r_{12}$  are faces of  $\sigma_4$ . Let  $r_4$  denote the remaining 1-face of  $\sigma_4$ , and let  $\Lambda$  denote the polyhedron obtained in this manner.

THEOREM 8. The polyhedron  $P(\Lambda^*)$  has the homotopy type of the 2-sphere.

**Proof.** The polyhedron  $P(\Lambda^*)$  consists of the following cells and their faces:

$\sigma_3 \times r_4$	$\sigma_1 \times c$	$c \times \sigma_1$	$r_1 \times r$
$\sigma_4 \times r_3$	$\sigma_2 \times c_{13}$	$c \times \sigma_2$	$r_2 \times r_{13}$
$r_4 \times \sigma_3$	$\sigma_2 \times c$	$r_{13} \times r_2$	$r_2 \times r$
$r_3 \times \sigma_4$	$c_{13} \times \sigma_2$	$r_{23} \times r_1$	$r \times r_1$
$\sigma_1 \times c_{23}$	$c_{23} \times \sigma_1$	$r_1 \times r_{23}$	$r \times r_2$

It is now a routine matter to verify that  $P(\Lambda^*)$  is homotopically equivalent to the 2-sphere.

It is clear that C can be imbedded in both  $\theta$  and  $\Lambda$ .

4. Imbedding C. Throughout this section, let X denote a finite, contractible, 2-dimensional polyhedron.

DEFINITION 1. A point  $x \in X$  is called a *c-point* of X if there exist 2-simplexes,  $\tau_1, \tau_2, \ldots, \tau_n$ , of X and a simplex  $\tau$  of X such that:

- (1)  $\tau$  is not a face of  $\tau_i$  for any i,
- (2) x is a vertex of  $\tau$  and of  $\tau_i$  for each i,
- (3)  $\tau_n \cap \tau_1$  is a 1-simplex  $s_n$ ,
- (4) for each  $i=1, 2, \ldots, n-1, \tau_i \cap \tau_{i+1}$  is a 1-simplex  $s_i$ , and
- (5)  $\tau_i \cap \tau_j = x$  unless i and j satisfy the conditions of either (3) or (4).

*Note.* By a collection of 2-simplexes satisfying Definition 1, we mean the 2-simplexes  $\tau_1, \tau_2, \ldots, \tau_n$ , i.e. we do not include  $\tau$  even though it may be a 2-simplex.

THEOREM 9. If  $f: C \to X$  is an imbedding, then  $f(c_0)$  is either a c-point of X or an interior point of a 1-simplex which is a face of at least three 2-simplexes.

**Proof.** First suppose  $f(c_0)$  is not a vertex of X. Then  $f(c_0)$  is an interior point of either a 1-simplex or a 2-simplex. Since the interior of C is not homeomorphic to a subset of an open disk, it is easy to see that  $f(c_0)$  cannot be either an interior point of a 2-simplex or an interior point of a 1-simplex which is a face of less than three 2-simplexes.

Now suppose  $f(c_0)$  is a vertex of X. Since f is an imbedding, there is an arbitrarily small neighborhood U of  $f(c_0)$  such that U contains a subset which is homeomorphic to C. Therefore  $f(c_0)$  is a c-point.

Notation. If t is a point of a 1-simplex  $s = \langle x, y \rangle$ , then there exists a number  $\lambda$  such that  $0 \le \lambda \le 1$  and  $t = \lambda x + (1 - \lambda)y$ . Let  $[x, t] = \{z = \mu x + (1 - \mu)y \mid \lambda \le \mu \le 1\}$ .

*Notation.* If P is a locally finite polyhedron and v is a vertex of P, let St(v, P) denote the open star of v in P.

THEOREM 10. If  $f: C \to X$  is an imbedding and  $f(c_0)$  is a c-point of X, then there exists a unique collection  $C_f$  of 2-simplexes of X satisfying Definition 1 such that (1) f(C-r) intersects the interior of every simplex in  $C_f$  and (2) there exists a neighborhood U of  $f(c_0)$  such that if  $\tau$  is a simplex which is not a face of a simplex of  $C_f$ , then  $f(C-r) \cap \operatorname{int}(\tau) \cap U = \emptyset$ . Moreover there is a point  $t_f$  in  $r(t_f \neq c_0)$  such that  $f([c_0, t_f]) \cap \bigcup \{\tau_i \mid \tau_i \in C_f\} = \{f(c_0)\}.$ 

**Proof.** Since f is continuous, there is a neighborhood V of  $c_0$  such that  $f(V) \subseteq St(f(c_0), X)$ . Let C' be a subset of V which is homeomorphic to C, and let

$$\Gamma = \{\tau \mid \tau \text{ is a 2-simplex of } X \text{ and } \operatorname{int}(\tau) \cap f(C'-r) \neq \emptyset\}.$$

Since f(C') is homeomorphic to C and  $f(c_0)$  is a vertex,  $\Gamma$  contains a collection  $C_f$  of 2-simplexes satisfying Definition 1. Suppose there exists a 2-simplex  $\tau \in \Gamma - C_f$ . Let  $\Gamma' = \{\tau \mid \tau \in \Gamma - C_f\}$ . If  $\bigcup \{\tau \mid \tau \in \Gamma'\} \cap \bigcup \{\tau \mid \tau \in C_f\} = \{f(c_0)\}$ , then f(C' - r) is not connected. Therefore there exist 1-simplexes  $s_1, s_2, \ldots, s_p$  such that  $f(c_0)$  is a vertex of  $s_k$  for each k and  $\bigcup \{\tau \mid \tau \in \Gamma'\} \cap \bigcup \{\tau \mid \tau \in C_f\} = \bigcup_{k=1}^p s_k$ . Since  $f(C' - r) \subseteq \bigcup \{\tau \mid \tau \in \Gamma\}$ ,  $f(C' - r) - \bigcup_{k=1}^p s_k$  is not connected. Therefore

$$(C'-r)-f^{-1}\bigg(\bigcup_{k=1}^p s_k\bigg)$$

is not connected, and hence p>1. Let  $K_1, K_2, \ldots, K_n$  be the components of  $(C'-r)-f^{-1}(\bigcup_{k=1}^p s_k)$ , and suppose  $K_1, K_2, \ldots, K_n$  are ordered so that  $K_i$  and  $K_{i+1}$  have a common limit point different from  $c_0$ ,  $K_n$  and  $K_1$  have a common limit point different from  $c_0$ , and  $f(K_1) \subset \bigcup \{\tau \mid \tau \in C_f\}$ . Note that no three of the  $K_i$ 's can have a common limit point different from  $c_0$ . Without loss of generality, we may assume that  $f(K_2) \subset \bigcup \{\tau \mid \tau \in \Gamma'\}$ . Let  $p_1$  be a common limit point of  $K_1$  and  $K_2$  such that  $p_1 \in C'$  and  $p_1 \neq c_0$ . There exists j  $(1 \leq j \leq p)$  such that  $f(p_1) \in s_j - \{f(c_0)\}$ . Since  $C_f$  satisfies Definition 1, there exists i  $(3 \leq i \leq n)$  such that  $f(K_i) \subset \bigcup \{\tau \mid \tau \in C_f\}$  and  $f(K_1)$  and  $f(K_i)$  have a common limit point  $q_1$  in  $s_j - \{f(c_0)\}$ . Thus  $[f(c_0), q_1] \cap [f(c_0), f(p_1)]$  contains a point x different from  $f(c_0)$ . But  $x \in [f(c_0), q_1] \cap [f(c_0), f(p_1)]$  and  $x \neq f(c_0)$  implies that  $f^{-1}(x)$  is a limit point of  $K_1$ ,  $K_2$ , and  $K_i$  which is different from  $c_0$ . Therefore  $\Gamma = C_f$ .

Now let W be a neighborhood of  $c_0$  such that  $W \subset C'$ , let U' be a neighborhood of  $f(c_0)$  which does not intersect f(C-W), and let  $U=U' \cap \operatorname{St}(f(c_0), X)$ . Let  $\tau$  be any simplex which is not a face of a simplex of  $C_f$ . Then  $f(C'-r) \cap \operatorname{int}(\tau) = \emptyset$ .

Since  $W \subseteq C'$ ,  $f(C - C') \subseteq f(C - W)$ . Let  $x \in f(C - r)$ . Then either  $x \in f(C' - r)$  or  $x \in f(C - C')$ . If  $x \in f(C' - r)$ , then  $x \notin \text{int}(\tau)$ . If  $x \in f(C - C')$ , then  $x \notin U$ . Therefore  $f(C - r) \cap \text{int}(\tau) \cap U = \emptyset$ .

Suppose there is another collection  $C_f'$  of 2-simplexes of X satisfying Definition 1 and conditions (1) and (2) of the theorem. Then either there is a 2-simplex in  $C_f$  which is not in  $C_f$  or there is a 2-simplex in  $C_f'$  which is not in  $C_f$ . Suppose  $\tau$  is in  $C_f$  but not in  $C_f'$ . Then, since  $\tau$  is not in  $C_f'$ , there is a neighborhood U of  $f(c_0)$  such that  $f(C-r) \cap U \cap \operatorname{int}(\tau) = \emptyset$ . But this contradicts the fact that  $\tau$  is in  $C_f$ . If  $f(r) \cap \bigcup \{\tau \mid \tau \in C_f\} = \{f(c_0)\}$ , then we can take  $t_f$  to be any point of r other

than 
$$c_0$$
. Suppose  $f(r) \cap \bigcup \{\tau \mid \tau \in C_f\} \neq \{f(c_0)\}$ . Let  $c$  be the other vertex of  $r$ , let

$$A = \{x = \mu c_0 + (1 - \mu)c \mid 0 \le \mu < 1 \text{ and } f(x) \in \bigcup \{\tau \mid \tau \in C_f\}\},\$$

and let  $\lambda = \text{lub}\{\mu \mid \mu c_0 + (1-\mu)c \in A\}$ . Suppose  $\lambda = 1$ . There exists a neighborhood U of  $f(c_0)$  such that each point of  $U \cap \bigcup \{\tau \mid \tau \in C_f\}$  is the image of a point of  $(C-r) \cup \{c_0\}$  under f. Since f is continuous, there exists a neighborhood V of  $c_0$  such that  $f(V) \subset U$ . Since  $\lambda = 1$ , there is a point  $c' \in r \cap V$  such that  $c' \neq c_0$  and  $f(c') \in \bigcup \{\tau \mid \tau \in C_f\}$ . Thus  $f(c') \in \bigcup \{\tau \mid \tau \in C_f\} \cap U$ , and hence f is not one-to-one. Therefore  $\lambda < 1$ . Let  $\lambda'$  be a number such that  $\lambda < \lambda' < 1$ , and let

$$t_f = \lambda' c_0 + (1 - \lambda') c$$
.

Note. If  $f: C \to X$  is an imbedding such that  $f(c_0)$  is a c-point of X, then, throughout this paper, we shall denote by  $t_f$  a point in r which has been chosen so that  $t_f \neq c_0$  and  $f([c_0, t_f]) \cap \bigcup \{\tau \mid \tau \in C_f\} = \{f(c_0)\}.$ 

DEFINITION 2. If  $\tau$  and  $\tau'$  are simplexes, then we say that  $\tau$  and  $\tau'$  are joined by a chain of 2-simplexes if there exists a sequence  $\tau_1, \tau_2, \ldots, \tau_n$  of 2-simplexes such that:

- (1)  $\tau \cap \tau_1$  is a 1-simplex,
- (2)  $\tau_n \cap \tau'$  is a 1-simplex, and
- (3) for each  $i, \tau_i \cap \tau_{i+1}$  is a 1-simplex.

We say that  $\tau_1, \tau_2, \ldots, \tau_n$  is a chain of 2-simplexes joining  $\tau$  and  $\tau'$ .

DEFINITION 3. If  $f: C \to X$  is an imbedding such that  $f(c_0)$  is a c-point of X, let

$$A_f = \{ \tau \mid \tau \text{ is a simplex of } X, f(c_0) \text{ is a vertex of } \tau, \text{ either } \}$$

$$f([c_0, t_f]) \cap (\tau - \{f(c_0)\}) \neq \emptyset$$

or  $\tau$  is a face of a simplex s with the property that

$$f([c_0, t_t]) \cap (s - \{f(c_0)\}) \neq \emptyset$$

and  $\tau$  is not a face of a simplex of  $C_t$ .

If  $A_f$  contains a 1-simplex, choose a 1-simplex  $s_f$  in  $A_f$ . If  $A_f$  does not contain a 1-simplex, choose a 2-simplex  $\tau$  in  $A_f$  and let  $s_f$  denote the line segment in  $\tau$  from  $f(c_0)$  to the barycenter of the 1-face of  $\tau$  opposite  $f(c_0)$ . In either case the line segment  $s_f$  is called a *c-line*.

*Note.* We extend Definition 2 in the obvious way so that we can talk about a chain of 2-simplexes joining either two c-lines or a c-line and a simplex.

THEOREM 11. Let  $f, g: C \to X$  be imbeddings such that  $f(c_0) = g(c_0)$  is a c-point of X. If f is isotopic to g under an isotopy H such that  $H(c_0, t) = f(c_0)$  for each  $t \in I$ , then  $C_f = C_g$  and either  $s_f = s_g$  or there exists a chain  $\tau_1, \tau_2, \ldots, \tau_n$  of 2-simplexes joining  $s_f$  and  $s_g$  such that  $f(c_0)$  is a vertex of  $\tau_i$  for each i and  $\tau_i \cap \tau_{i+1}$  is not a face of a simplex of  $C_f$  for any i.

**Proof.** Let  $H: C \times I \to X$  be an isotopy such that H(w, 0) = f(w) and H(w, 1) = g(w) for each  $w \in C$  and  $H(c_0, t) = f(c_0)$  for each  $t \in I$ . For each  $t \in I$ , let  $h_t: C \to X$  be the imbedding defined by  $h_t(w) = H(w, t)$ .

Suppose  $C_f \neq C_g$ , and let  $t' = \text{lub}\{t \mid C_{h_t} = C_f\}$ . Suppose  $C_{h_t} = C_f$ . Let  $\{t_i\}_{i=1}^{\infty}$  be a sequence of points such that  $t_1 < 1$ ,  $t_i > t'$  for each i,  $t_i > t_{i+1}$  for each i, and  $\lim_{i \to \infty} t_i = t'$ . For each i, there exists a 2-simplex  $\eta_i$  of  $C_f$  such that  $\eta_i \notin C_{h_{t_i}}$ . Since  $C_f$  has only a finite number of simplexes, there is a 2-simplex  $\eta$  such that  $\eta_i = \eta$  for an infinite number of i's. Let V' be a neighborhood of  $(c_0, t')$  such that  $H(V') \subset \text{St}(f(c_0), X)$ . There exists a connected neighborhood M' of  $c_0$  and a neighborhood N' of t' such that  $M' \times N' \subset V'$ . Let  $c_1 \in M' \cap D$  such that  $H(c_1, t') \in \text{int}(\eta)$ . Let V be any neighborhood of  $(c_1, t')$ . There exists a neighborhood M of  $c_1$  and a connected neighborhood N of t' such that

$$M \times N \subseteq V \cap (M' \times N')$$
.

There exists i such that  $t_i \in N$  and  $\eta_i = \eta$ . Since

$$M' \times \{t_i\} \subset V', H(M' \times \{t_i\}) \subset St(f(c_0), X).$$

Therefore, since  $M' \times \{t_i\}$  is connected,  $c_0 \in M'$ , and  $c_1 \in M' \cap D$ ,  $H(c_1, t_i) \in C_{h_{t_i}}$ . Therefore  $H(V) \oplus \operatorname{int}(\eta)$ , and hence H is not continuous. If  $C_{h_{t_i}} \neq C_f$ , then t' > 0, and, using essentially the same argument, we can show that H is not continuous. Therefore  $C_f = C_g$ .

Suppose  $s_f \neq s_g$ . For each  $t \in I$ , there exists a neighborhood  $V_t$  of  $c_0$  and a neighborhood  $W_t$  of t such that  $H(V_t \times W_t) \subset \operatorname{St}(f(c_0), X)$ . Let  $V_{t_1} \times W_{t_1}, V_{t_2} \times W_{t_2}, \ldots, V_{t_n} \times W_{t_n}$  be a finite subcollection of  $\{V_t \times W_t \mid t \in I\}$  which covers  $\{c_0\} \times I$ . Let  $V = \bigcap_{i=1}^n V_{t_i}$ . There V is a neighborhood of  $c_0$  and  $H(V \times I) \subset \operatorname{St}(f(c_0), X)$ . Let  $t_H \in r(t_H \neq c_0)$  such that  $[c_0, t_H] \subset V$ , and let  $c_1 \in [c_0, t_H] \cap [c_0, t_f] \cap [c_0, t_g]$  such that  $c_1 \neq c_0$ .

We assert that there exists a neighborhood N of 1 such that if  $t \in N$ , then  $t_{h_t}$  can be chosen so that

$$h_t([c_0, t_{h_t}] \cap [c_0, c_1]) \cap \bigcup \{\tau \mid \tau \in A_g\} - \{f(c_0)\} \neq \emptyset.$$

First suppose

$$g(\partial(C-r\cup\{f(c_0)\}))\cap\bigcup\{\tau\mid\tau\in C_g\}=\varnothing.$$

For each  $x \in \partial(C-r \cup \{f(c_0)\})$ , there exists a neighborhood  $M_x$  of x and a neighborhood  $N_x$  of 1 such that  $H(M_x \times N_x) \cap \bigcup \{\tau \mid \tau \in C_g\} = \emptyset$ . Let  $M_{x_1} \times N_{x_1}$ .  $M_{x_2} \times N_{x_2}, \ldots, M_{x_n} \times N_{x_n}$  be a finite subcollection of

$$\{M_x \times N_x \mid x \in \partial (C - r \cup \{f(c_0)\})\}$$

which covers  $\partial(C-r \cup \{f(c_0)\}) \times \{1\}$ , and let  $N' = \bigcap_{i=1}^m N_{x_i}$ . Then N' is a neighborhood of 1, and  $H[\partial(C-r \cup \{f(c_0)\}) \times N'] \cap \bigcup \{\tau \mid \tau \in C_g\} = \emptyset$ . If  $t \in N'$ , then each point of  $\bigcup \{\tau \mid \tau \in C_g\}$  is the image under  $h_t$  of some point of  $C-r \cup \{f(c_0)\}$ . Therefore  $h_t([c_0, c_1]) \cap \bigcup \{\tau \mid \tau \in C_g\} - \{f(c_0)\} = \emptyset$  if  $t \in N'$ . Thus we may assume that for  $t \in N'$ ,  $[c_0, c_1] \subseteq [c_0, t_{h_t}]$ . Let  $B_g = \{\tau \mid f(c_0) \text{ is a vertex of } \tau \text{ and } g([c_0, t_g]) \cap (\tau - \{f(c_0)\}) \neq \emptyset\}$ . Then  $W = \bigcup \{\text{int}(\tau) \mid \tau \in B_g\}$  is an open set such that  $g(c_1) \in W \subseteq \bigcup \{\tau \mid \tau \in A_g\} - \{f(c_0)\}$ . Therefore there exists a neighborhood N'' of 1 such that if  $t \in N''$ , then  $h_t(c_1) \in W$ . Let  $N = N' \cap N''$ . If  $t \in N$ , then

$$h_t([c_0, t_{h_t}] \cap [c_0, c_1]) \cap \bigcup \{\tau \mid \tau \in A_q\} - \{f(c_0)\} \neq \emptyset$$

because  $[c_0, c_1] \subseteq [c_0, t_h]$  and  $h_t(c_1) \in W$ . Now suppose

$$g(\partial(C-r\cup\{f(c_0)\}))\cap\bigcup\{\tau\mid \tau\in C_q\}\neq\emptyset$$
.

Let

$$\varepsilon = d[g(\partial(C-r \cup \{f(c_0)\})) \cap \bigcup \{\tau \mid \tau \in C_0\}, f(c_0)],$$

where d is a metric for X. Then  $\varepsilon > 0$ , and by an argument similar to the one above, there exists a neighborhood N' of 1 such that if  $t \in N'$ , then

$$d[h_t(\partial(C-r\cup\{f(c_0)\}))\cap\bigcup\{\tau\mid\tau\in C_g\},f(c_0)]>\varepsilon/2.$$

Let U' be the  $\varepsilon/2$ -neighborhood of  $f(c_0)$ , and let  $U = \operatorname{St}(f(c_0), X) \cap U'$ . There exists a neighborhood M of  $c_0$  and neighborhood N'' of 1 such that  $H(M \times N'') \subseteq U$ . Let  $c' \in r(c' \neq c_0)$  such that  $[c_0, c'] \subseteq M \cap [c_0, c_1]$ . If  $t \in N' \cap N''$ , then

$$h_t([c_0, c']) \cap \bigcup \{\tau \mid \tau \in C_a\} = \{f(c_0)\}.$$

Thus if  $t \in N' \cap N''$ , we may assume that  $[c_0, c'] \subset [c_0, t_{h_t}]$ . Therefore, by an argument similar to the one above, we can show that there exists a neighborhood N of 1 such that if  $t \in N$ , then  $t_{h_t}$  can be chosen so that

$$h_t([c_0, t_{h_t}] \cap [c_0, c_1]) \cap \bigcup \{\tau \mid \tau \in A_g\} - \{f(c_0)\} \neq \emptyset.$$

Now we return to the proof of the theorem. There exist 2-simplexes  $\tau_1, \tau_2, \ldots, \tau_m$  in X such that  $H([c_0, c_1] \times I) \cap \tau_i \neq \emptyset$  for each  $i = 1, 2, \ldots, m$ , and

$$H([c_0, c_1] \times I) \subset \bigcup_{i=1}^m \tau_i.$$

Obviously some subcollection of  $\tau_1, \tau_2, \ldots, \tau_m$  is a chain joining  $s_f$  and  $s_g$ . Suppose that for each subcollection  $\tau_1, \tau_2, \ldots, \tau_n, \tau_i \cap \tau_{i+1}$  is a face of a simplex of

 $C_f$  for some i=1, 2, ..., n-1. For each  $t \in I$ , some subcollection of  $\tau_1, \tau_2, ..., \tau_m$  is a chain joining  $s_{h_t}$  and  $s_f$ . Let

 $\Gamma = \{t \mid \text{if } \tau_1, \tau_2, \ldots, \tau_n \text{ is any subcollection of } \tau_1, \tau_2, \ldots, \tau_m \text{ which is a chain joining } s_{h_t} \text{ and } s_f, \text{ then } \tau_i \cap \tau_{i+1} \text{ is a face of some simplex of } C_f \text{ for some } i=1, 2, \ldots, n-1\},$ 

and let  $t' = \text{glb}\{t \mid t \in \Gamma\}$ . Suppose t' = 1. Observe that if  $\rho$ ,  $\rho' \in A_{h_t}$  for some t, and  $\rho$  can be joined to  $s_f$  by a subcollection  $\tau_1, \tau_2, \ldots, \tau_n$  of  $\tau_1, \tau_2, \ldots, \tau_m$  so that  $\tau_i \cap \tau_{i+1}$  is not a face of  $C_f$  for any  $i = 1, 2, \ldots, n-1$ , then  $\rho'$  can be joined to  $s_f$  by such a subcollection of  $\tau_1, \tau_2, \ldots, \tau_m$ . If

$$h_t([c_0, t_{h_t}] \cap [c_0, c_1]) \cap \bigcup \{\tau \mid \tau \in A_q\} - \{f(c_0)\} \neq \emptyset,$$

then  $A_{h_i}$  and  $A_g$  have a common simplex and hence each simplex in  $A_{h_i}$  can be joined to  $s_g$  by a subcollection  $\tau_1, \tau_2, \ldots, \tau_n$  of  $\tau_1, \tau_2, \ldots, \tau_m$  so that  $\tau_i \cap \tau_{i+1}$  is not a face of  $C_f$  for any  $i=1, 2, \ldots, n-1$ . Therefore, if t'=1,

$$h_t([c_0, t_h] \cap [c_0, c_1]) \cap \bigcup \{\tau \mid \tau \in A_q\} - \{f(c_0)\} = \emptyset$$

for each t < 1. This contradicts the assertion and hence  $t' \ne 1$ . By the assertion, there exists a neighborhood N of t' such that if  $t \in N$ , then  $t_{h_t}$  can be chosen so that

$$h_t([c_0, t_{h_t}] \cap [c_0, c_1]) \cap \bigcup \{\tau \mid \tau \in A_{h_t}\} - \{f(c_0)\} \neq \emptyset.$$

If  $t \in N$ , then each simplex in  $A_{h_t}$  can be joined to  $s_{h_t}$  by a subcollection  $\tau_1, \tau_2, \ldots, \tau_n$  of  $\tau_1, \tau_2, \ldots, \tau_m$  so that  $\tau_i \cap \tau_{i+1}$  is not a face of  $C_f$  for any  $i=1, 2, \ldots, n-1$ . Since there exist  $t \in N \cap \Gamma$ ,  $t' \in \Gamma$ . Therefore t' > 0, and hence there exist  $t \in N$  such that t < t'. Thus  $t' \notin \Gamma$ .

The original proof of the following theorem was due to Ross Finney. The author is also indebted to the referee for suggesting a simpler proof.

THEOREM 12. Let K be a locally finite polyhedron, and let v be a vertex of K. If  $h: I \to K$  is a homeomorphism such that h(0) = v, then there exists an isotopy  $F: I \times I \to K$  such that F(x, 0) = h(x) for all  $x \in I$ ,  $F \mid I \times \{1\}$  is a homeomorphism of I onto an edge emanating from v, and F(0, t) = v for all  $t \in I$ .

**Proof.** If  $h(I) \not\in \operatorname{St}(v, K)$ , let  $\bar{x}$  be the smallest number in I such that  $h(\bar{x}) \notin \operatorname{St}(v, K)$ . Then  $H: I \times I \to K$  defined by  $H(x, t) = h(x - tx + tx\bar{x})$  is an isotopy such that H(x, 0) = h(x) for all  $x \in I$ ,  $H(x, 1) = h(x\bar{x}) \in [\operatorname{St}(v, K)]^-$  for all  $x \in I$ ,  $H(1, 1) \in \partial(\operatorname{St}(v, K))$ , and H(0, t) = v for all  $t \in I$ . If  $h(I) \subseteq \operatorname{St}(v, K)$ , then it is easy to see that there is an isotopy  $H: I \times I \to K$  such that H(x, 0) = h(x) for all  $x \in I$ ,  $H(x, 1) \in [\operatorname{St}(v, K)]^-$  for all  $x \in I$ ,  $H(x, 1) \in \partial(\operatorname{St}(v, K))$  if and only if x = 1, and H(0, t) = v for all  $t \in I$ . Thus we may assume without loss of generality that  $h(I) \subseteq [\operatorname{St}(v, K)]^-$  and  $h(x) \in \partial(\operatorname{St}(v, K))$  if and only if x = 1. Now define  $G: I \times I \to K$  by

$$G(x, t) = xh(1) + (1-x)v,$$
  $t \le x \le 1,$   
=  $th(x/t) + (1-t)v,$   $0 \le x < t.$ 

Then G is an isotopy such that  $G \mid I \times \{0\}$  is a homeomorphism of I onto a line segment in  $[St(v, K)]^-$  from v to h(1), G(x, 1) = h(x) for all  $x \in I$ , and G(0, t) = v for all  $t \in I$ .

Notation. Let  $x_0$  be a c-point of X, let  $C_p$  be a collection of 2-simplexes of X, and let  $s_p$  be a c-line of X such that  $x_0$ ,  $C_p$ , and  $s_p$  satisfy Definition 1. Let  $\tau$  be a 2-simplex of  $C_p$ , let  $s_1$  and  $s_2$  denote the 1-faces of  $\tau$  which have  $x_0$  as a vertex, let  $s_3$  denote the 1-face of  $\tau$  which does not have  $x_0$  as a vertex, and let

 $S = \bigcup \{s \mid s \text{ is a 1-face of a simplex of } C_p, x_0 \text{ is not a vertex of } s, \text{ and } s \text{ is not a face of } \tau\}.$ 

Using the same notation for the simplexes of C as that used in §3, let  $p, p': C \to X$  be the homeomorphisms which satisfy the following properties:

- (1) p maps r linearly onto  $s_p$ ,
- (2) p maps  $r_{1j}$  linearly onto  $s_{j-1}$  for each j=2, 3,
- (3) p maps each point of  $\sigma_1$  into the point of  $\tau$  which has the same barycentric coordinates,
  - (4) p maps  $r_2 \cup r_3$  linearly onto S,
- (5) if L is a line segment from  $c_0$  to  $r_2 \cup r_3$ , then p maps L linearly onto the line segment from  $x_0$  to  $p(L \cap (r_2 \cup r_3))$ ,
  - (6) p' maps r linearly onto  $s_p$ ,
  - (7) p' maps  $r_{1j}$  linearly onto  $s_{j-1}$  for each j=2, 3,
  - (8) p' maps  $r_1$  linearly onto S,
- (9) if L is a line segment from  $c_0$  to  $r_1$ , then p' maps L linearly onto the line segment from  $x_0$  to  $p'(L \cap r_1)$ ,
  - (10) p' maps  $r_2 \cup r_3$  linearly onto  $s_3$ , and
- (11) if L is a line segment from  $c_0$  to  $r_2 \cup r_3$ , then p' maps L linearly onto the line segment from  $x_0$  to  $p'(L \cap (r_2 \cup r_3))$ .

Note. In the remainder of this paper, when we speak of p and p', we will mean homeomorphisms satisfying the above conditions. This means that  $C_p = C_{p'}$  and  $s_p = s_{p'}$ .

THEOREM 13. If  $f: C \to X$  is an imbedding such that  $f(c_0)$  is a c-point of X,  $C_f = C_p$ , and either  $s_f = s_p$  or there exists a chain  $\tau_1, \tau_2, \ldots, \tau_n$  of 2-simplexes joining  $s_f$  and  $s_p$  such that  $f(c_0)$  is a vertex of  $\tau_i$  for each i and  $\tau_i \cap \tau_{i+1}$  is not a face of a simplex of  $C_f$  for any i, then f is isotopic to either p or p' under an isotopy f such that  $f(c_0, t) = f(c_0)$  for each f is included in the f is isotopic.

**Proof.** Since f is continuous, there exists a neighborhood V of  $c_0$  such that  $f(V) \subset \operatorname{St}(f(c_0), X)$ . Let  $c' \in r$  such that  $c' \neq c_0$  and  $[c_0, c'] \subset V$ , and let  $c_1 \in [c_0, t_f] \cap [c_0, c']$  such that  $c_1 \neq c_0$ . There exists  $\lambda(0 \leq \lambda < 1)$  such that  $c_1 = \lambda c_0 + (1 - \lambda)c$ . Let  $(w, t) \in C \times I$ . If  $w \in r$ , there exists  $\mu$   $(0 \leq \mu \leq 1)$  such that  $w = \mu c_0 + (1 - \mu)c$ . Define  $K: C \times I \to X$  by

$$K(w, t) = f(w), \quad \text{if } w \in D,$$
  
=  $f((\mu + t\lambda - t\lambda\mu)c_0 + (1 - \mu - \lambda t + t\lambda\mu)c), \quad \text{if } w \in r.$ 

Then K is an isotopy, K(w, 0) = f(w), and  $K_1$  is an imbedding of C into X such that  $K_1(r) \subseteq (\operatorname{St}(f(c_0), X) - \bigcup \{\tau \mid \tau \in C_f\}) \cup \{f(c_0)\}$  and  $K_1(w) = f(w)$  for all  $w \in D$ .

There exists a positive number S such that if

$$D' = \{x \mid x \in \bigcup \{\tau \mid \tau \in C_f\} \text{ and } d(f(c_0), x) < S\},\$$

then  $D' \subseteq (\operatorname{int}(f(D))) \cup \bigcup \{\tau \mid \tau \in C_f\}$ . Then  $f^{-1}(D') \subseteq \operatorname{int}(D)$ . Let  $A_1$  be the annulus bounded by  $f^{-1}(\partial D')$  and  $\partial D$ , and let  $k_1$  be a homeomorphism of  $A_1$  onto the annulus  $\{z \mid 3 \le |z| \le 4\}$  in the plane which sends  $f^{-1}(\partial D')$  onto  $\{z \mid |z| = 3\}$ . Let D'' be a disk with center at  $c_0$  such that  $D'' \subseteq \operatorname{int}(f^{-1}(D'))$ , and let  $A_2$  be the annulus bounded by  $\partial D''$  and  $f^{-1}(\partial D')$ . Let  $k_2$  be a homeomorphism of  $A_2$  onto the annulus  $\{z \mid 1 \le |z| \le 2\}$  in the plane which sends  $\partial D''$  onto  $\{z \mid |z| = 1\}$ . Define  $k_3$  mapping D'' onto the disk  $\{z \mid |z| \le 1\}$  in the plane as follows:  $k_3(c_0)$  is the origin,  $k_3(w) = k_2(w)$  if  $w \in \partial D''$ , and if L is a line segment from  $c_0$  to  $\partial D''$ , then  $k_3$ maps L linearly onto the line segment from the origin to  $k_3(L \cap \partial D'')$ . Then  $k_4: f^{-1}(D') \to E^2$  defined by  $k_4(w) = k_2(w)$ , if  $w \in f^{-1}(D') - D''$ , and  $k_4(w) = k_3(w)$ , if  $w \in D''$ , is a homeomorphism of  $f^{-1}(D')$  onto the disk  $\{z \mid |z| \le 2\}$ . Define  $k_5: \{z \mid |z| \le 2\} \to \{z \mid |z| \le 3\}$  by  $k_5$  of the origin is the origin,  $k_5(z) = k_1(k_2^{-1}(z))$ , if |z|=2, and if L is a line segment from the origin to  $\{z \mid |z|=2\}$ , then  $k_5$  maps L linearly onto the line segment from the origin to  $k_5(L \cap \{z \mid |z|=2\})$ . Then  $k: D \to \{z \mid |z| \le 4\}$  defined by  $k(z) = k_1(z)$ , if  $z \in D - f^{-1}(D')$ , and  $k(z) = k_5 k_4(z)$ , if  $z \in f^{-1}(D')$ , is a homeomorphism which sends  $\partial D$  onto  $\{z \mid |z| = 4\}$  and  $f^{-1}(\partial D')$ onto  $\{z \mid |z|=3\}$  and maps  $c_0$  into the origin. Define  $G: \{z \mid |z| \le 4\} \times I \to \{z \mid |z| \le 4\}$ by G(z, t) = z - tz/4. Define  $F: D \times I \to X$  by  $F(w, t) = fk^{-1}G(k(w), t)$ . Then F is an isotopy, F(w, 0) = f(w), and  $F(w, 1) \in D'$ . Since  $F(c_0, t) = f(c_0)$  for all  $t \in I$ , we can extend F to an isotopy  $F^*: C \times I \to X$  by defining  $F^*(w, t) = K_1(w)$  for all  $w \in r$ . Then  $F^*(w, 0) = K_1(w)$  for all  $w \in C$ , and  $F_1^*$  is an imbedding of C into X such that  $F_1^*(D) = D'$ .

Let  $w \in D - \{c_0\}$ , and let  $L_1$  be the line segment from  $c_0$  to  $\partial D$  which passes through w. Then  $F_1^*(L_1 \cap \partial D) \in \partial D'$ . Let  $L_2$  be the line segment from  $f(c_0)$  to  $\partial (\bigcup \{\tau \mid \tau \in C_f\})$  which passes through  $F_1^*(L_1 \cap \partial D)$ , and let

$$a = L_2 \cap \partial(\bigcup \{\tau \mid \tau \in C_t\}).$$

Let e be a metric for C, and let  $\varepsilon$  be the e radius of D. Define  $J: C \times I \to X$  by

$$J(w, t) = K_1(w)$$
, if  $w \in r$ ,

 $J(w, t) = F_1^*$  (the point on  $L_1$  whose distance from  $c_0$  is  $2e(w, c_0)/(2-t)$ ),

if  $w \in D$  and  $e(w, c_0) \leq \varepsilon(2-t)/2$ ,

and

$$J(w, t) = [(2e(w, c_0) - 2\varepsilon + \varepsilon t)/\varepsilon]a + [(3\varepsilon - \varepsilon t - 2e(w, c_0))/\varepsilon]F_1^*(L_1 \cap \partial D),$$

if 
$$w \in D$$
 and  $e(w, c_0) \ge \varepsilon(2-t)/2$ .

Then J is an isotopy,  $J(w, 0) = F_1^*(w)$ , if  $w \in D$ , and  $J_1(D) = \bigcup \{\tau \mid \tau \in C_f\}$ .

It is clear that there exists an isotopy  $M^*: \partial D \times I \to \partial (\bigcup \{\tau \mid \tau \in C_f\})$  such that  $M^*(w, 0) = J_1(w)$  and  $M_1^*$  is either  $p \mid \partial D$  or  $p' \mid \partial D$ . Also it is clear that this isotopy can be extended to an isotopy  $M': D \times I \to \bigcup \{\tau \mid \tau \in C_f\}$  such that  $M'_0 = J_1$  and  $M'(c_0, t) = f(c_0)$ . Then we can extend M' to an isotopy

$$M: C \times I \rightarrow \bigcup \{\tau \mid \tau \in C_f\} \cup f([c_0, c_1])$$

by defining  $M(w, t) = K_1(w)$  for all  $w \in r$ . Now by Alexander's Theorem [1],  $M_1'$  is isotopic to either  $p \mid D$  or  $p' \mid D$  under an isotopy N' such that  $N'(c_0, t) = f(c_0)$ . Again N' can be extended to an isotopy  $N: C \times I \to \bigcup \{\tau \mid \tau \in C_f\} \cup f([c_0, c_1])$  by defining  $N(w, t) = K_1(w)$  for all  $w \in r$ .

The desired result now follows immediately from Theorem 12.

THEOREM 14. Let  $f: C \to X$  be an imbedding such that  $f(c_0)$  is a c-point of X. If  $F: C \times I \to X$  is an isotopy such that F(w, 0) = f(w) for each  $w \in C$  and

$$t' = \text{glb}\{t \mid F(c_0, t) \neq f(c_0)\},\$$

then there exists a neighborhood V of t' such that  $F(c_0, t) \in \bigcup \{\tau \mid \tau \in C_f\}$  whenever  $t \in V$ .

**Proof.** Suppose that for each neighborhood R of t', there exists  $t \in R$  such that  $F(c_0, t) \notin \bigcup \{\tau \mid \tau \in C_f\}$ . Observe that  $F(c_0, t') = f(c_0)$ . Let V' be a neighborhood of  $(c_0, t')$  such that  $F(V') \subset \operatorname{St}(f(c_0), X)$ . There exists a connected neighborhood M' of  $c_0$  and a neighborhood N' of t' such that  $M' \times N' \subset V'$ . Let  $c_1 \in M' \cap D$  such that  $c_1 \neq c_0$ . Then  $F(c_1, t') \in \operatorname{int}(\bigcup \{\tau \mid \tau \in C_f\})$ . Let V be any neighborhood of  $(c_1, t')$ . There exists a neighborhood M of  $c_1$  and a connected neighborhood N of t' such that  $M \times N \subset V \cap (M' \times N')$ . There exists  $t_1 \in N$  such that

$$F(c_0, t_1) \notin \bigcup \{\tau \mid \tau \in C_t\}.$$

Since

$$M' \times \{t_1\} \subset V', F(M' \times \{t_1\}) \subset St(f(c_0), X).$$

Let X' be a subdivision of X such that  $F(c_0, t_1)$  is a c-point of X', and let  $f_{t_1} = F \mid C \times \{t_1\}$ . Since  $M' \times \{t_1\}$  is connected,  $c_0 \in M'$ , and

$$c_1 \in M' \cap D, F(c_1, t_1) \in int(\bigcup \{\tau \mid \tau \in C_{f_{t_1}}\}).$$

Therefore  $F(V) \in \operatorname{int}(\bigcup \{\tau \mid \tau \in C_f\})$ , and hence F is not continuous.

DEFINITION 4. If  $f, g: C \to X$  are imbeddings such that  $f(c_0)$  and  $g(c_0)$  are c-points of X, then we say that f(C) and g(C) are combinatorially joined if there exist a sequence  $s_1, s_2, \ldots, s_{\alpha}$  of 1-simplexes and three sequences

$$\tau_1, \tau_2, \ldots, \tau_a; \tau'_1, \tau'_2, \ldots, \tau'_m; \tau''_1, \tau''_2, \ldots, \tau'''_n$$

of 2-simplexes such that:

- (1)  $f(c_0)$  is a vertex of  $s_1$  and  $g(c_0)$  is a vertex of  $s_{\alpha}$ ,
- (2)  $s_{\beta} \cap s_{\beta+1}$  is a vertex for each  $\beta = 1, 2, ..., \alpha 1$ ,

- (3)  $s_t$  is a face of  $\tau_1$  and  $s_a$  is a face of  $\tau_a$ ,
- (4)  $\tau'_1$  and  $\tau''_n$  are simplexes of  $C_f$  and  $\tau''_n$  and  $\tau''_n$  are simplexes of  $C_g$ ,
- (5) for each i, j, and k,  $\tau_i \cap \tau_{i+1}$ ,  $\tau'_j \cap \tau'_{j+1}$ , and  $\tau''_k \cap \tau''_{k+1}$  are  $\rho$ -simplexes  $(\rho = 1, 2)$ , and
  - (6) for each  $\beta = 1, 2, ..., \alpha$ , we may choose  $i(\beta), j(\beta)$ , and  $k(\beta)$  such that:
  - (a) j(1)=1, k(1)=1,  $j(\alpha)=m$ , and  $k(\alpha)=n$ ,
  - (b) for each  $\beta = 1, 2, \ldots, \alpha 1$ ,  $i(\beta + 1) > i(\beta)$ ,  $j(\beta + 1) > j(\beta)$ , and  $k(\beta + 1) > k(\beta)$ ,
  - (c)  $\tau_{i(\beta)}$ ,  $\tau'_{j(\beta)}$ , and  $\tau''_{k(\beta)}$  are distinct,
  - (d)  $\tau_{i(\beta)} \cap \tau'_{j(\beta)} \cap \tau''_{k(\beta)} = s_{\beta}$ ,
  - (e) if  $\tau_{i(\beta)} \cap \tau_{i(\beta+1)}$  is a  $\rho$ -simplex ( $\rho = 1, 2$ ), then  $\tau_{i(\beta+1)} = \tau_{i(\beta)+1}$ ,
  - (f) if  $\tau'_{j(\beta)} \cap \tau'_{j(\beta+1)}$  is a  $\rho$ -simplex ( $\rho = 1, 2$ ), then  $\tau'_{j(\beta+1)} = \tau'_{j(\beta)+1}$ ,
  - (g) if  $\tau''_{k(\beta)} \cap \tau''_{k(\beta+1)}$  is a  $\rho$ -simplex ( $\rho = 1, 2$ ), then  $\tau''_{k(\beta+1)} = \tau''_{k(\beta)+1}$ ,
- (h) if  $\tau_{i(\beta)} \cap \tau_{i(\beta+1)}$  is a vertex v, then, for each  $\gamma = i(\beta) + 1, \ldots, i(\beta+1) 1$ , each  $\delta = j(\beta), \ldots, j(\beta+1)$ , and each  $\varepsilon = k(\beta), \ldots, k(\beta+1), \tau_{\gamma} \cap \tau'_{\delta} = \tau_{\gamma} \cap \tau''_{\varepsilon} = \{v\}$ ,
- (i) if  $\tau'_{j(\beta)} \cap \tau'_{j(\beta+1)}$  is a vertex v, then, for each  $\gamma = i(\beta), \ldots, i(\beta+1)$ , each  $\delta = j(\beta) + 1, \ldots, j(\beta+1) 1$ , and each  $\varepsilon = k(\beta), \ldots, k(\beta+1), \tau_{\gamma} \cap \tau'_{\delta} = \tau'_{\delta} \cap \tau''_{\varepsilon} = \{v\}$ ,
- (j) if  $\tau''_{k(\beta)} \cap \tau''_{k(\beta+1)}$  is a vertex v, then for each  $\gamma = i(\beta), \ldots, i(\beta+1)$ , each  $\delta = j(\beta), \ldots, j(\beta+1)$ , and each  $\varepsilon = k(\beta)+1, \ldots, k(\beta+1)-1, \tau_{\gamma} \cap \tau''_{\varepsilon} = \tau'_{\delta} \cap \tau''_{\varepsilon} = \{v\}$ ,
  - (k) if i(1) > 1, then, for each i = 1, 2, ..., i(1) 1,  $\tau_i \cap \tau'_1 = \tau_i \cap \tau''_1 = \{f(c_0)\}$ , and
- (1) if  $i(\alpha) < q$ , then, for each  $i = i(\alpha) + 1, \ldots, q$ ,  $\tau_i \cap \tau'_m = \tau_i \cap \tau''_n = \{g(c_0)\}$ .

We say that  $s_1, s_2, \ldots, s_{\alpha}$  and  $\tau_1, \tau_2, \ldots, \tau_n''$  combinatorially join f(C) and g(C).

THEOREM 15. Let f,  $g: C \to X$  be imbeddings such that  $f(c_0)$  and  $g(c_0)$  are c-points of X. If f is isotopic to g under an isotopy H such that  $H(c_0, t) \neq f(c_0)$  for some  $t \in I$ , then f(C) and g(C) are combinatorially joined.

**Proof.** We may choose 1-simplexes  $s_1, s_2, \ldots, s_{\alpha}$  in

$$\{s \mid s \text{ is a 1-simplex and } H(\{c_0\} \times I) \cap \operatorname{int}(s) \neq \emptyset\},\$$

2-simplexes  $\tau_1, \tau_2, \ldots, \tau_q$  in

 $\{\tau \mid \tau \text{ is a 2-simplex and for some } t \in I \text{ arbitrarily small neighborhoods of } H(c_0, t) \text{ intersect } H((r - \{c_0\}) \times \{t\}) \cap \tau\},$ 

and 2-simplexes  $\tau'_1, \tau'_2, \ldots, \tau'_m; \tau''_1, \tau''_2, \ldots, \tau''_n$  in

 $\{\tau \mid \tau \text{ is a 2-simplex and for some } t \in I \text{ arbitrarily small neighborhoods of } H(c_0, t) \text{ intersect } H((D - \{c_0\}) \times (\{t\}) \cap \tau\}$ 

so that they may be ordered in such a way as to satisfy Definition 4.

THEOREM 16. The imbeddings p and p' are not isotopic.

**Proof.** Suppose  $F: C \times I \to X$  is an isotopy between p and p'. If  $F(c_0, t) = x_0$  for all  $t \in I$ , then  $F \mid \partial D \times I$  is an isotopy in  $X - \{x_0\}$  between  $p \mid \partial D$  and  $p' \mid \partial D$ , and therefore X is not contractible. Hence there exists  $t \in I$  such that  $F(c_0, t) \neq x_0$ .

Now there exists a sequence  $s_1, s_2, \ldots, s_{\alpha}$  of 1-simplexes and three sequences

$$\tau_1, \tau_2, \ldots, \tau_q; \tau'_1, \tau'_2, \ldots, \tau'_m; \tau''_1, \tau''_2, \ldots, \tau''_n$$

of 2-simplexes which combinatorially join p(C) and p'(C) and which have the following properties:

- (1)  $int(s_{\beta}) \cap F(\{c_0\} \times I) \neq \emptyset$  for each  $\beta = 1, 2, ..., \alpha$ ,
- (2) for each i, there exists  $t \in I$  such that arbitrarily small neighborhoods of  $F(c_0, t)$  intersect  $F((r \{c_0\}) \times \{t\}) \cap \tau_i$ ,
- (3) for each j, there exists  $t \in I$  such that arbitrarily small neighborhoods of  $F(c_0, t)$  intersect  $F((D \{c_0\}) \times \{t\}) \cap \tau'_j$ , and
- (4) for each k, there exists  $t \in I$  such that arbitrarily small neighborhoods of  $F(c_0, t)$  intersect  $F((D \{c_0\}) \times \{t\}) \cap \tau_k''$ .

We will assume throughout the remainder of this proof that  $F \mid C \times \{0\} = p$  and show that  $F \mid C \times \{1\} \neq p'$ . First suppose that  $p(c_0)$  is not a vertex of  $s_\beta$  for any  $\beta = 2, 3, \ldots, \alpha - 1$ . If  $s_1, s_2, \ldots, s_\alpha$  does not contain a simple closed curve, then it is easy to see that  $F \mid C \times \{1\}$  is "essentially" p rather than p' because the isotopy has not "flipped" the disk  $\bigcup \{\tau \mid \tau \in C_p\}$ . If  $s_1, s_2, \ldots, s_\alpha$  contains a simple closed curve, then  $F \mid C \times \{1\}$  is "essentially" either p or a rotation of p rather than p' because if the isotopy "flips" the disk  $\bigcup \{\tau \mid \tau \in C_p\}$  then  $s_p = s_{p'}$  cannot be a face of  $\tau_q$ . Now if  $p(c_0)$  is a vertex of  $s_\beta$  for some  $\beta = 2, 3, \ldots, \alpha - 1$ , then, in order to determine  $F \mid C \times \{1\}$ , we examine some finite combination of the possibilities listed above. But it is obvious that this finite combination will "essentially" yield either p or a rotation of p rather than p'. Therefore p is not isotopic to p'.

THEOREM 17. Let  $f, g: C \to X$  be imbeddings such that  $f(c_0)$  and  $g(c_0)$  are c-points of X. If  $C_f = C_p$ , if either  $s_f = s_p$  or there exists a chain  $\tau_1, \tau_2, \ldots, \tau_n$  of 2-simplexes joining  $s_f$  and  $s_p$  such that  $f(c_0)$  is a vertex of  $\tau_i$  for each i and  $\tau_i \cap \tau_{i+1}$  is not a face of a simplex of  $C_f$  for any i, and if f(C) and g(C) are combinatorially joined, then g is isotopic to either p or p'.

**Proof.** By Theorem 13, there is a  $p_g$  such that g is isotopic to either  $p_g$  or  $p'_g$ . It is clear that p(C) and  $p_g(C)$  are combinatorially joined. Therefore  $p_g$  is isotopic to either p or p', and hence g is isotopic to either p or p'.

THEOREM 18. If  $f: C \to X$  is an imbedding and  $f(c_0)$  is an interior point of a 1-simplex s of X, then there exists a unique collection  $D_f$  consisting of two 2-simplexes of X which contain s as a face such that (1) f(C-r) intersects the interior of every simplex in  $D_f$  and (2) there exists a neighborhood U of  $f(c_0)$  such that if  $\tau$  is a simplex which is not a face of a simplex of  $D_f$ , then  $f(C-r) \cap \operatorname{int}(\tau) \cap U = \emptyset$ . Moreover there is a point  $t_f$  in r ( $t_f \neq c_0$ ) and a 2-simplex  $\tau \in X - C_f$  such that

$$f([c_0, t_f] - \{c_0\}) \subseteq \operatorname{int}(\tau).$$

The proof is essentially the same as the proof of Theorem 10 and hence it is omitted.

Notation. If  $\tau$  is the 2-simplex such that  $f([c_0, t_f] - \{c_0\}) \subset \operatorname{int}(\tau)$ , let  $s_f$  denote the line segment in  $\tau$  from  $f(c_0)$  to the vertex of  $\tau$  which is not a vertex of s.

Note. Since  $f(c_0)$  is a c-point of a subdivision of X, we can obviously define imbeddings p and p' just as before so that  $p(c_0) = p'(c_0) = f(c_0)$  and show that f is isotopic to either p or p' but not both.

THEOREM 19. Suppose f,  $g: C \to X$  are imbeddings such that  $f(c_0)$  and  $g(c_0)$  are interior points of 1-simplexes  $s_1$  and  $s_2$  respectively  $(s_1 \neq s_2)$ . Then f is isotopic to g if and only if there exist imbeddings h,  $k: C \to X$  such that  $h(c_0)$  and  $k(c_0)$  are c-points, f is isotopic to h, g is isotopic to k, and h is isotopic to k.

**Proof.** Suppose  $F: C \times I \to X$  is an isotopy such that F(w, 0) = f(w) and F(w, 1) = g(w) for all  $w \in C$ . Suppose that  $F(c_0, t)$  is not a c-point of X for any  $t \in I$ . If  $t_1 = \text{lub}\{t \mid F(c_0, t) \in s_1\}$ , then F is not continuous at  $(c_0, t_1)$ .

If the condition is satisfied, then f is isotopic to g because isotopy is an equivalence relation.

THEOREM 20. Suppose  $f: C \to X$  is an imbedding such that  $f(c_0)$  is an interior point of a 1-simplex s of X. Then there exists an imbedding  $g: C \to X$  such that  $g(c_0)$  is a c-point of X and f is isotopic to g if and only if there exists a vertex v of s, 2-simplexes  $\tau_1, \tau_2, \ldots, \tau_n$  of X, and a 1-simplex  $s_1$  of X such that:

- (1)  $v, \tau_1, \tau_2, \ldots, \tau_n$ , and  $s_1$  satisfy Definition 1,
- (2)  $\bigcup \{\tau \mid \tau \in D_t\} \subset \bigcup_{i=1}^n \tau_i$ , and
- (3) either  $s_1$  and  $s_j$  are in the same 2-simplex or there exists a chain  $\tau'_1, \tau'_2, \ldots, \tau'_q$  of 2-simplexes such that  $s_1 \subset \tau'_1, s_j \subset \tau'_q, v$  is a vertex of  $\tau'_j$  for each j, and  $\tau'_j \cap \tau'_{j+1}$  is a 1-simplex which is not a face of  $\tau_i$  for any i.

**Proof.** Suppose there exists an imbedding  $g: C \to X$  such that  $g(c_0)$  is a c-point of X and f is isotopic to g. Let  $F: C \times I \to X$  be an isotopy such that F(w, 0) = f(w) and F(w, 1) = g(w) for all  $w \in C$ . Let  $t_1 = \text{glb}\{t \in I \mid F(c_0, t) \text{ is a vertex of } s\}$ . Then  $F(c_0, t_1)$  is a vertex v of s, and it is clear that the imbedding  $f_{t_1}: C \to X$  defined by  $f_{t_1}(w) = F(w, t_1)$  gives us a collection of simplexes satisfying the condition.

Suppose the condition is satisfied. By the note preceding Theorem 19, there is a p such that f is isotopic if either p or p'. It is clear that p, and hence p', is isotopic to an imbedding  $g: C \to X$  such that  $g(c_0)$  is a c-point of X.

THEOREM 21. Let s be a 1-simplex of X which does not have a c-point as vertex but which is a face of at least three 2-simplexes. If n is the number of 2-simplexes which have s as a face, then  $\{f: C \to X \mid f \text{ is an imbedding and } f(c_0) \text{ is an interior point of s} \}$  consists of  $\{G(n, 3) \text{ isotopy classes.}\}$ 

**Proof.** It is clear that if either  $D_f \neq D_g$  or  $f([c_0, t_f])$  and  $g([c_0, t_g])$  are in different simplexes, then f is not isotopic to g. Thus the theorem follows since there exists p such that if  $D_f = D_p$  and  $f([c_0, t_f])$  and p(r) are in the same simplex, then f is isotopic to either p or p' but not both.

Summary. Now it follows that in order to compute the number of isotopy classes of imbeddings of C in X, it is sufficient to consider only the c-points and the 1-simplexes which do not have a c-point as vertex but which are faces of at least three 2-simplexes. Let  $x_1, x_2, \ldots, x_m$  denote the c-points of X, and let  $s_1, s_2, \ldots, s_n$ denote the 1-simplexes which do not have a c-point as vertex but which are faces of at least three 2-simplexes. For each  $i=1, 2, \ldots, m$ , let  $C_{i1}, C_{i2}, \ldots, C_{iq_i}$  be the collections of 2-simplexes having  $x_i$  as a vertex and satisfying Definition 1. Suppose  $1 \le i \le m$  and  $1 \le k \le q_i$ . For each 2-simplex  $\tau$  such that  $x_i$  is a vertex of  $\tau$  and the 1-faces of  $\tau$  which have  $x_i$  as a vertex are faces of simplexes of  $C_{ik}$ , choose a line segment in  $\tau$  from  $x_i$  to the barycenter of the 1-face of  $\tau$  opposite  $x_i$ , and let  $s_{ik1}$ ,  $s_{ik2}, \ldots, s_{ik\alpha_{ik}}$  denote this collection of line segments together with all 1-simplexes having  $x_i$  as a vertex which are not faces of simplexes of  $C_{ik}$ . Corresponding to  $(C_{11}, s_{111})$ , there are 2 isotopy classes of imbeddings of C in X. Corresponding to  $(C_{11}, s_{112})$ , there are 2 isotopy classes of imbeddings of C in X. We examine these to see if either is one of the 2 classes previously obtained. We will either get 2 new classes or no new classes. We continue this process. For each  $(C_{ik}, s_{ik\theta})$ ,  $i=1, 2, \ldots, m; k=1, 2, \ldots, q_i; \beta=1, 2, \ldots, \alpha_{ik}$ , there are 2 isotopy classes of imbeddings of C in X. They are either both new or neither is new. Let  $\gamma_1$  be the number of distinct isotopy classes of imbeddings of C in X obtained from  $(C_{1k},$  $s_{1k\beta}$ ),  $k=1, 2, ..., q_1$ ;  $\beta=1, 2, ..., \alpha_{1k}$ . For each i=2, 3, ..., m, let  $\gamma_i$  be the number of distinct isotopy classes of imbeddings of C in X obtained from  $(C_{ik}, s_{ik\beta}), k=1, 2, \ldots, q_i; \beta=1, 2, \ldots, \alpha_{ik},$  which are different from those obtained from  $(C_{\alpha k}, s_{ak\beta}), a=1, 2, ..., i-1; k=1, 2, ..., q_a; \beta=1, 2, ..., \alpha_{ak}$ . For each  $j=1, 2, \ldots, n$ , let  $n_i$  be the number of 2-simplexes which have  $s_i$  as a face. Then the number of isotopy classes of imbeddings of C in X is

$$\sum_{i=1}^{m} \gamma_i + 6 \sum_{j=1}^{n} C(n_j, 3).$$

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